

**Return of Organization Exempt From Income Tax**

**2006**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

**A For the 2006 calendar year, or tax year beginning 2006, and ending**

|   |  |  |
|---|--|--|
| <b>B</b> Check if applicable:<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Name change<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Application pending | <b>C</b> Name of organization<br>THE FUND FOR ANIMALS, INC.  | <b>D</b> Employer identification number<br>13-6218740  |
|   | Number and street (or P O box if mail is not delivered to street address) Room/suite<br>200 WEST 57TH STREET 705 | <b>E</b> Telephone number<br>(212) 246-2096  |
|   | City or town, state or country, and ZIP + 4<br>NEW YORK, NY 10019  | <b>F</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br>Other (specify) |
|   | Please use IRS table or pntt or type See Specific Instructions   |  |

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

H and I are not applicable to section 527 organizations

H(a) Is this a group return for affiliates?  Yes  No

H(b) If "Yes," enter number of affiliates

H(c) Are all affiliates included?  Yes  No (If "No," attach a list. See instructions.)

H(d) Is this a separate return filed by an organization covered by a group ruling?  Yes  No

I Group Exemption Number

M Check  if the organization is not required to attach Sch B (Form 990, 990-EZ, or 990-PF)

G Website: WWW.FUNDFORANIMALS.ORG

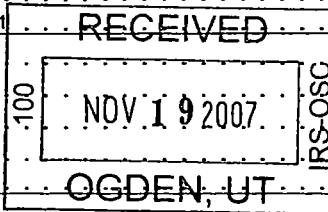
J Organization type (check only one)  501(c)(3) (insert no)  4947(a)(1) or  527

K Check here  if the organization is not a 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A return is not required, but if the organization chooses to file a return, be sure to file a complete return.

L Gross receipts Add lines 6b, 8b, 9b, and 10b to line 12 6,873,747.

**Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions.)**

|                   |   |                |            |            |            |
|-------------------|---|----------------|------------|------------|------------|
| <b>1</b>          | Contributions, gifts, grants, and similar amounts received  |                |            |            |            |
| <b>a</b>          | Contributions to donor advised funds  | 1a             |            |            |            |
| <b>b</b>          | Direct public support (not included on line 1a)   | 1b             | 6,461,224. |            |            |
| <b>c</b>          | Indirect public support (not included on line 1a)   | 1c             | 47,911.    |            |            |
| <b>d</b>          | Government contributions (grants) (not included on line 1a)   | 1d             |            |            |            |
| <b>e</b>          | Total (add lines 1a through 1d) (cash \$ 6,388,608. noncash \$ 120,527.)  | 1e             |            | 6,509,135. |            |
| <b>2</b>          | Program service revenue including government fees and contracts (from Part VII, line 93)                          | 2              |            | 31,458.    |            |
| <b>3</b>          | Membership dues and assessments   | 3              |            |            |            |
| <b>4</b>          | Interest on savings and temporary cash investments  | 4              |            |            |            |
| <b>5</b>          | Dividends and interest from securities  | 5              |            | 36,968.    |            |
| <b>6a</b>         | Gross rents   | 6a             |            |            |            |
| <b>b</b>          | Less rental expenses  | 6b             |            |            |            |
| <b>c</b>          | Net rental income or (loss) Subtract line 6b from line 6a   | 6c             |            |            |            |
| <b>7</b>          | Other investment income (describe )   | 7              |            |            |            |
| <b>8a</b>         | Gross amount from sales of assets other than inventory  | (A) Securities |            | (B) Other  |            |
| <b>b</b>          | Less cost or other basis and sales expenses   | 8a             |            |            |            |
| <b>c</b>          | Gain or (loss) (attach schedule)  | 8b             |            |            |            |
| <b>d</b>          | Net gain or (loss) Combine line 8c, columns (A) and (B)   | 8c             |            |            |            |
| <b>8d</b>         |   | 8d             |            |            |            |
| <b>9</b>          | Special events and activities (attach schedule) If any amount is from gaming, check here <input type="checkbox"/> |                |            |            |            |
| <b>a</b>          | Gross revenue (not including \$ of contributions reported on line 1b) STMT. 4                                     | 9a             | 23,108.    |            |            |
| <b>b</b>          | Less direct expenses other than fundraising expenses  | 9b             |            |            |            |
| <b>c</b>          | Net income or (loss) from special events. Subtract line 9b from line 9a   | 9c             |            | 23,108.    |            |
| <b>10a</b>        | Gross sales of inventory, less returns and allowances   | 10a            |            |            |            |
| <b>b</b>          | Less cost of goods sold   | 10b            |            |            |            |
| <b>c</b>          | Gross profit or (loss) from sales of inventory (attach schedule) Subtract line 10b from line 10a                  | 10c            |            |            |            |
| <b>11</b>         | Other revenue (from Part VII, line 103)   | 11             |            | 273,078.   |            |
| <b>12</b>         | <b>Total revenue.</b> Add lines 1e, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11  | 12             |            | 6,873,747. |            |
| <b>Expenses</b>   | <b>13</b> Program services (from line 44, column (B))   | 13             |            | 4,306,347. |            |
|                   | <b>14</b> Management and general (from line 44, column (C))   | 14             |            | 334,903.   |            |
|                   | <b>15</b> Fundraising (from line 44, column (D))  | 15             |            | 223,964.   |            |
|                   | <b>16</b> Payments to affiliates (attach schedule)  | 16             |            |            |            |
|                   | <b>17</b> <b>Total expenses.</b> Add lines 16 and 44, column (A)  | 17             |            |            | 4,865,214. |
| <b>Net Assets</b> | <b>18</b> Excess or (deficit) for the year Subtract line 17 from line 12  | 18             |            | 2,008,533. |            |
|                   | <b>19</b> Net assets or fund balances at beginning of year (from line 73, column (A))                             | 19             |            | 1,577,230. |            |
|                   | <b>20</b> Other changes in net assets or fund balances (attach explanation)                                       | 20             |            |            |            |
|                   | <b>21</b> Net assets or fund balances at end of year. Combine lines 18, 19, and 20                                | 21             |            |            | 3,585,763. |



SCANNED DEC 11 2007 Revenue

617

in

**Part II Statement of Functional Expenses**

All organizations must complete column (A) Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others (See the instructions.)

| Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I.   | (A) Total  | (B) Program services | (C) Management and general | (D) Fundraising |
|---|------------|----------------------|----------------------------|-----------------|
| <b>22a</b> Grants paid from donor advised funds (attach schedule)<br>(cash \$ _____ noncash \$ _____)<br>If this amount includes foreign grants, check here <input type="checkbox"/>                |            |                      |                            |                 |
| <b>22b</b> Other grants and allocations (attach schedule)<br>(cash \$ <u>35,304.</u> noncash \$ _____)<br>If this amount includes foreign grants, check here <input type="checkbox"/>               | 35,304.    | 35,304.              | STMT \$                    |                 |
| <b>23</b> Specific assistance to individuals (attach schedule)  |            |                      |                            |                 |
| <b>24</b> Benefits paid to or for members (attach schedule)   |            |                      |                            |                 |
| <b>25a</b> Compensation of current officers, directors, key employees, etc. listed in Part V-A (attach schedule)  | NONE       | NONE                 | NONE                       | NONE            |
| <b>25b</b> Compensation of former officers, directors, key employees, etc. listed in Part V-B (attach schedule)   |            |                      |                            |                 |
| <b>25c</b> Compensation and other distributions, not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) (attach schedule) |            |                      |                            |                 |
| <b>26</b> Salaries and wages of employees not included on lines 25a, b, and c   | 1,255,834. | 1,110,522.           | 87,079.                    | 58,233.         |
| <b>27</b> Pension plan contributions not included on lines 25a, b, and c  | 125,583.   | 111,052.             | 8,708.                     | 5,823.          |
| <b>28</b> Employee benefits not included on lines 25a - 27  | 237,405.   | 209,935.             | 16,461.                    | 11,009.         |
| <b>29</b> Payroll taxes   | 221.       | 196.                 | 15.                        | 10.             |
| <b>30</b> Professional fundraising fees   | 66,115.    |                      |                            | 66,115.         |
| <b>31</b> Accounting fees   | 3,632.     | 3,212.               | 252.                       | 168.            |
| <b>32</b> Legal fees  | 373,226.   | 330,040.             | 25,879.                    | 17,307.         |
| <b>33</b> Supplies  | 599,237.   | 533,271.             | 41,815.                    | 24,151.         |
| <b>34</b> Telephone   | 57,028.    | 50,430.              | 3,954.                     | 2,644.          |
| <b>35</b> Postage and shipping  | 36,274.    | 32,077.              | 2,515.                     | 1,682.          |
| <b>36</b> Occupancy   | 380,596.   | 336,558.             | 26,390.                    | 17,648.         |
| <b>37</b> Equipment rental and maintenance  |            |                      |                            |                 |
| <b>38</b> Printing and publications   |            |                      |                            |                 |
| <b>39</b> Travel  | 150,935.   | 133,470.             | 10,466.                    | 6,999.          |
| <b>40</b> Conferences, conventions, and meetings  |            |                      |                            |                 |
| <b>41</b> Interest  |            |                      |                            |                 |
| <b>42</b> Depreciation, depletion, etc. (attach schedule)   | 135,425.   | 119,755.             | 9,390.                     | 6,280.          |
| <b>43</b> Other expenses not covered above (itemize):   | STMT 6     |                      |                            |                 |
| a <u>STMT 7</u>   | 1,408,399. | 1,300,525.           | 101,980.                   | 5,894.          |
| b   |            |                      |                            |                 |
| c   |            |                      |                            |                 |
| d   |            |                      |                            |                 |
| e   |            |                      |                            |                 |
| f   |            |                      |                            |                 |
| g   |            |                      |                            |                 |
| <b>44</b> Total functional expenses. Add lines 22a through 43g (Organizations completing columns (B)-(D), carry these totals to lines 13-15).   | 4,865,214. | 4,306,347.           | 334,904.                   | 223,963.        |

Joint Costs. Check  if you are following SOP 98-2.

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services?  Yes  No  
 If "Yes," enter (i) the aggregate amount of these joint costs \$ 983,840.; (ii) the amount allocated to Program services \$ 729,598.  
 (iii) the amount allocated to Management and general \$ 29,515.; and (iv) the amount allocated to Fundraising \$ 224,727.

**Part III Statement of Program Service Accomplishments** (See the instructions)

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

| <p>What is the organization's primary exempt purpose? <b>SEE STATEMENT 8</b></p> <p>All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)</p> | <p><b>Program Service Expenses</b><br/>(Required for 501(c)(3) and (4) orgs. and 4947(a)(1) trusts, but optional for others.)</p> |
|--|---|
| <p><b>a</b> <u>SEE STATEMENT 1</u></p> <p>-----<br/>-----<br/>-----<br/>-----<br/>-----<br/>-----</p> <p>(Grants and allocations \$ <u>35,304.</u> ) If this amount includes foreign grants, check here <input type="checkbox"/></p>   | <p><u>4,306,347.</u></p>  |
| <p><b>b</b></p> <p>-----<br/>-----<br/>-----<br/>-----<br/>-----<br/>-----</p> <p>(Grants and allocations \$ ) If this amount includes foreign grants, check here <input type="checkbox"/></p>   |   |
| <p><b>c</b></p> <p>-----<br/>-----<br/>-----<br/>-----<br/>-----<br/>-----</p> <p>(Grants and allocations \$ ) If this amount includes foreign grants, check here <input type="checkbox"/></p>   |   |
| <p><b>d</b></p> <p>-----<br/>-----<br/>-----<br/>-----<br/>-----<br/>-----</p> <p>(Grants and allocations \$ ) If this amount includes foreign grants, check here <input type="checkbox"/></p>   |   |
| <p><b>e</b> Other program services (attach schedule)</p> <p>(Grants and allocations \$ ) If this amount includes foreign grants, check here <input type="checkbox"/></p>   |   |
| <p><b>f Total of Program Service Expenses</b> (should equal line 44, column (B), Program services) . . . . . ▶</p>   | <p><u>4,306,347.</u></p>  |

**Part IV Balance Sheets** (See the instructions)

|  |   | (A)<br>Beginning of year                                     | (B)<br>End of year |
|--|---|--|--------------------|
| <b>Note:</b> Where required, attached schedules and amounts within the description column should be for end-of-year amounts only |   |  |                    |
| <b>Assets</b>  | 45 Cash - non-interest-bearing  |  | 45                 |
|  | 46 Savings and temporary cash investments   | 1,409,104.   | 46 1,782,732.      |
|  | 47a Accounts receivable   | 47a 236,575.   |                    |
|  | b Less allowance for doubtful accounts  | 47b  | 47c 236,575.       |
|  | 48a Pledges receivable  | 48a 699,015.   |                    |
|  | b Less allowance for doubtful accounts  | 48b  | 48c 699,015.       |
|  | 49 Grants receivable  |  | 49                 |
|  | 50a Receivables from current and former officers, directors, trustees, and key employees (attach schedule)  |  | 50a                |
|  | b Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) (attach schedule)            |  | 50b                |
|  | 51a Other notes and loans receivable (attach schedule)  | 51a  |                    |
|  | b Less allowance for doubtful accounts  | 51b  | 51c                |
|  | 52 Inventories for sale or use  |  | 52                 |
|  | 53 Prepaid expenses and deferred charges  | 2,343.   | 53 2,383.          |
|  | 54a Investments - publicly-traded securities  | ▶ <input type="checkbox"/> Cost <input type="checkbox"/> FMV | 54a                |
|  | b Investments - other securities (attach schedule)  | ▶ <input type="checkbox"/> Cost <input type="checkbox"/> FMV | 54b                |
| 55a Investments - land, buildings, and equipment basis   | 55a   |  |                    |
| b Less accumulated depreciation (attach schedule)  | 55b   | 55c  |                    |
| 56 Investments - other (attach schedule)   | 2,630.  | 56 NONE  |                    |
| 57a Land, buildings, and equipment basis, STMT 9   | 57a 3,637,175.  |  |                    |
| b Less accumulated depreciation (attach schedule)  | 57b 1,906,073.  | 57c 1,731,102.   |                    |
| 58 Other assets, including program-related investments (describe ▶ STMT 10)  | 470.  | 58 470.  |                    |
| 59 <b>Total assets</b> (must equal line 74) Add lines 45 through 58  | 3,612,319.  | 59 4,452,277.  |                    |
| <b>Liabilities</b>   | 60 Accounts payable and accrued expenses  | 56,109.  | 60 98,347.         |
|  | 61 Grants payable   |  | 61                 |
|  | 62 Deferred revenue   |  | 62                 |
|  | 63 Loans from officers, directors, trustees, and key employees (attach schedule)  |  | 63                 |
|  | 64a Tax-exempt bond liabilities (attach schedule)   |  | 64a                |
|  | b Mortgages and other notes payable (attach schedule)   |  | 64b                |
|  | 65 Other liabilities (describe ▶ STMT 11)   | 1,978,980.   | 65 768,167.        |
| 66 <b>Total liabilities.</b> Add lines 60 through 65   | 2,035,089.  | 66 866,514.  |                    |
| <b>Net Assets or Fund Balances</b>   | Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74.                        |  |                    |
|  | 67 Unrestricted   | 1,577,230.   | 67 3,585,763.      |
|  | 68 Temporarily restricted   |  | 68                 |
|  | 69 Permanently restricted   |  | 69                 |
|  | Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74.  |  |                    |
|  | 70 Capital stock, trust principal, or current funds   |  | 70                 |
|  | 71 Paid-in or capital surplus, or land, building, and equipment fund  |  | 71                 |
|  | 72 Retained earnings, endowment, accumulated income, or other funds   |  | 72                 |
|  | 73 <b>Total net assets or fund balances</b> (add lines 67 through 69 or lines 70 through 72. (Column (A) must equal line 19 and column (B) must equal line 21)) | 1,577,230.   | 73 3,585,763.      |
| 74 <b>Total liabilities and net assets/fund balances.</b> Add lines 66 and 73  | 3,612,319.  | 74 4,452,277.  |                    |

**Part IV-A Reconciliation of Revenue per Audited Financial Statements With Revenue per Return** (See the instructions.)

|   |  |    |            |
|---|--|----|------------|
| a | Total revenue, gains, and other support per audited financial statements . . . . . | a  | 7,276,131. |
| b | Amounts included on line a but not on Part I, line 12                              |    |            |
| 1 | Net unrealized gains on investments . . . . .                                      | b1 |            |
| 2 | Donated services and use of facilities . . . . .                                   | b2 | 402,384.   |
| 3 | Recoveries of prior year grants . . . . .  | b3 |            |
| 4 | Other (specify) -----  | b4 |            |
|   | Add lines b1 through b4 . . . . .  | b  | 402,384.   |
| c | Subtract line b from line a . . . . .  | c  | 6,873,747. |
| d | Amounts included on Part I, line 12, but not on line a:                            |    |            |
| 1 | Investment expenses not included on Part I, line 6b . . . . .                      | d1 |            |
| 2 | Other (specify) -----  | d2 |            |
|   | Add lines d1 and d2 . . . . .  | d  |            |
| e | <b>Total revenue</b> (Part I, line 12) Add lines c and d. . . . .                  | e  | 6,873,747. |

**Part IV-B Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

|   |  |    |            |
|---|--|----|------------|
| a | Total expenses and losses per audited financial statements . . . . . | a  | 5,267,598. |
| b | Amounts included on line a but not on Part I, line 17                |    |            |
| 1 | Donated services and use of facilities . . . . .                     | b1 | 402,384.   |
| 2 | Prior year adjustments reported on Part I, line 20 . . . . .         | b2 |            |
| 3 | Losses reported on Part I, line 20 . . . . .                         | b3 |            |
| 4 | Other (specify) -----  | b4 |            |
|   | Add lines b1 through b4 . . . . .                                    | b  | 402,384.   |
| c | Subtract line b from line a . . . . .                                | c  | 4,865,214. |
| d | Amounts included on Part I, line 17, but not on line a:              |    |            |
| 1 | Investment expenses not included on Part I, line 6b . . . . .        | d1 |            |
| 2 | Other (specify) -----  | d2 |            |
|   | Add lines d1 and d2 . . . . .  | d  |            |
| e | <b>Total expenses</b> (Part I, line 17) Add lines c and d. . . . .   | e  | 4,865,214. |

**Part V-A Current Officers, Directors, Trustees, and Key Employees** (List each person who was an officer, director, trustee, or key employee at any time during the year even if they were not compensated) (See the instructions)

| (A) Name and address | (B) Title and average hours per week devoted to position | (C) Compensation (If not paid, enter -0-) | (D) Contributions to employee benefit plans & deferred compensation plans | (E) Expense account and other allowances |
|----------------------|--|---|---|--|
| SEE STATEMENT 12     |  | NONE                                      | NONE  | NONE                                     |
|                      |  |   |   |  |
|                      |  |   |   |  |
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|                      |  |   |   |  |

Part V-A Current Officers, Directors, Trustees, and Key Employees (continued)

75a Enter the total number of officers, directors, and trustees permitted to vote on organization business at board meetings 4
75b Are any officers, directors, trustees, or key employees listed in Form 990, Part V-A, or highest compensated employees listed in Schedule A, Part I, or highest compensated professional and other independent contractors listed in Schedule A, Part II-A or II-B, related to each other through family or business relationships? If "Yes," attach a statement that identifies the individuals and explains the relationship(s) X
75c Do any officers, directors, trustees, or key employees listed in Form 990, Part V-A, or highest compensated employees listed in Schedule A, Part I, or highest compensated professional and other independent contractors listed in Schedule A, Part II-A or II-B, receive compensation from any other organizations, whether tax exempt or taxable, that are related to the organization? See the instructions for the definition of "related organization". SEE STATEMENT 14 X
75d Does the organization have a written conflict of interest policy? X

Part V-B Former Officers, Directors, Trustees, and Key Employees That Received Compensation or Other Benefits

(If any former officer, director, trustee, or key employee received compensation or other benefits (described below) during the year, list that person below and enter the amount of compensation or other benefits in the appropriate column See the instructions )

Table with 5 columns: (A) Name and address, (B) Loans and Advances, (C) Compensation (if not paid, enter -0-), (D) Contributions to employee benefit plans & deferred compensation plans, (E) Expense account and other allowances. Row 1 shows -0- in columns B, C, D, and E.

Part VI Other Information (See the instructions.)

76 Did the organization make a change in its activities or methods of conducting activities? If "Yes," attach a detailed statement of each change X
77 Were any changes made in the organizing or governing documents but not reported to the IRS? X
78a Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return? X
78b If "Yes," has it filed a tax return on Form 990-T for this year? N/A
79 Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement X
80a Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization? X
80b If "Yes," enter the name of the organization SEE STATEMENT 3 and check whether it is X exempt or nonexempt
81a Enter direct and indirect political expenditures (See line 81 instructions.) NONE
81b Did the organization file Form 1120-POL for this year? X

Part VI Other Information (continued)

82a Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?
82b If "Yes," you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III)
83a Did the organization comply with the public inspection requirements for returns and exemption applications?
83b Did the organization comply with the disclosure requirements relating to quid pro quo contributions?
84a Did the organization solicit any contributions or gifts that were not tax deductible?
84b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?
85 501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members?
85b Did the organization make only in-house lobbying expenditures of \$2,000 or less?
85c Dues, assessments, and similar amounts from members
85d Section 162(e) lobbying and political expenditures
85e Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices
85f Taxable amount of lobbying and political expenditures (line 85d less 85e)
85g Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?
85h If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?
86 501(c)(7) orgs Enter a Initiation fees and capital contributions included on line 12
86b Gross receipts, included on line 12, for public use of club facilities
87 501(c)(12) orgs Enter a Gross income from members or shareholders
87b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)
88a At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX
88b At any time during the year, did the organization, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Part XI
89a 501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 NONE, section 4912 NONE, section 4955 NONE
89b 501(c)(3) and 501(c)(4) orgs Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction
89c Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958
89d Enter: Amount of tax on line 89c, above, reimbursed by the organization
89e All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction?
89f All organizations Did the organization acquire a direct or indirect interest in any applicable insurance contract?
89g For supporting organizations and sponsoring organizations maintaining donor advised funds. Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year?
90a List the states with which a copy of this return is filed SEE STATEMENT 16
90b Number of employees employed in the pay period that includes March 12, 2006 (See instructions)
91a The books are in care of THE FUND FOR ANIMALS, INC. Telephone no 212-246-2096
Located at 200 WEST 57TH STREET, NEW YORK, NY ZIP + 4 10019
b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)?
If "Yes," enter the name of the foreign country
See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts

**Part VI Other Information (continued)**

c At any time during the calendar year, did the organization maintain an office outside of the United States? 91c  Yes  No  
 If "Yes," enter the name of the foreign country ▶ \_\_\_\_\_

92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041 - Check here  ▶  
 and enter the amount of tax-exempt interest received or accrued during the tax year 92 | \_\_\_\_\_ N/A

**Part VII Analysis of Income-Producing Activities (See the instructions)**

Note: Enter gross amounts unless otherwise indicated

|   | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (E)<br>Related or<br>exempt function<br>income |
|---|---------------------------|---------------|--------------------------------------|---------------|--|
|   | (A)<br>Business code      | (B)<br>Amount | (C)<br>Exclusion code                | (D)<br>Amount |  |
| 93 Program service revenue                                      |                           |               |                                      |               |  |
| a LITERATURE  |                           |               |                                      |               | 576.   |
| b WORKSHOPS   |                           |               |                                      |               | 30,882.  |
| c _____   |                           |               |                                      |               |  |
| d _____   |                           |               |                                      |               |  |
| e _____   |                           |               |                                      |               |  |
| f Medicare/Medicaid payments . . . . .                          |                           |               |                                      |               |  |
| g Fees and contracts from government agencies . . . . .         |                           |               |                                      |               |  |
| 94 Membership dues and assessments . . . . .                    |                           |               |                                      |               |  |
| 95 Interest on savings and temporary cash investments . . . . . |                           |               |                                      |               |  |
| 96 Dividends and interest from securities . . . . .             |                           |               | 14                                   | 36,968.       |  |
| 97 Net rental income or (loss) from real estate                 |                           |               |                                      |               |  |
| a debt-financed property . . . . .                              |                           |               |                                      |               |  |
| b not debt-financed property . . . . .                          |                           |               |                                      |               |  |
| 98 Net rental income or (loss) from personal property . . . . . |                           |               |                                      |               |  |
| 99 Other investment income . . . . .                            |                           |               |                                      |               |  |
| 100 Gain or (loss) from sales of assets other than inventory    |                           |               |                                      |               |  |
| 101 Net income or (loss) from special events . . . . .          |                           |               | 01                                   | 23,108.       |  |
| 102 Gross profit or (loss) from sales of inventory . . . . .    |                           |               |                                      |               |  |
| 103 Other revenue: a _____                                      |                           |               |                                      |               |  |
| b MISC. REVENUE   |                           |               | 01                                   | -2,183.       |  |
| c ROYALTIES   |                           |               | 15                                   | 219,254.      |  |
| d LIST RENTALS  |                           |               | 15                                   | 56,007.       |  |
| e _____   |                           |               |                                      |               |  |
| 104 Subtotal (add columns (B), (D), and (E)) . . . . .          |                           |               |                                      | 333,154.      | 31,458.  |
| 105 Total (add line 104, columns (B), (D), and (E)) . . . . .   |                           |               |                                      |               | 364,612.                                       |

Note: Line 105 plus line 1e, Part I, should equal the amount on line 12, Part I

**Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See the instructions)**

| Line No. | Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) |
|----------|--|
| 93A      | SALES OF BOOKS, VIDEOS, AND LOGO ITEMS, AT OR BELOW COST, TO INCREASE PUBLIC AWARENESS OF THE FUND FOR ANIMALS' MISSION.   |
| 93B      | ONLINE & CLASSROOM TRAINING ON ANIMAL WELFARE.   |

**Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See the instructions.)**

| (A)<br>Name, address, and EIN of corporation, partnership, or disregarded entity | (B)<br>Percentage of ownership interest | (C)<br>Nature of activities | (D)<br>Total income | (E)<br>End-of-year assets |
|--|---|-----------------------------|---------------------|---------------------------|
|  | %                                       |                             |                     |                           |
|  | %                                       |                             |                     |                           |
|  | %                                       |                             |                     |                           |
|  | %                                       |                             |                     |                           |

**Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See the instructions.)**

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions)



**Part XI Information Regarding Transfers To and From Controlled Entities.** Complete only if the organization is a controlling organization as defined in section 512(b)(13).

**106** Did the reporting organization make any transfers to a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity

|     |     |
|-----|-----|
| Yes | No  |
| N/A | N/A |

|               | (A)<br>Name, address, of each controlled entity | (B)<br>Employer Identification Number | (C)<br>Description of transfer | (D)<br>Amount of transfer |
|---------------|---|---------------------------------------|--------------------------------|---------------------------|
| a             | -----   |                                       |                                |                           |
| b             | -----   |                                       |                                |                           |
| c             | -----   |                                       |                                |                           |
| <b>Totals</b> |   |                                       |                                |                           |

**107** Did the reporting organization receive any transfers from a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity.

|     |     |
|-----|-----|
| Yes | No  |
| N/A | N/A |

|               | (A)<br>Name, address, of each controlled entity | (B)<br>Employer Identification Number | (C)<br>Description of transfer | (D)<br>Amount of transfer |
|---------------|---|---------------------------------------|--------------------------------|---------------------------|
| a             | -----   |                                       |                                |                           |
| b             | -----   |                                       |                                |                           |
| c             | -----   |                                       |                                |                           |
| <b>Totals</b> |   |                                       |                                |                           |

**108** Did the organization have a binding written contract in effect on August 17, 2006, covering the interest, rents, royalties, and annuities described in question 107 above?

|     |     |
|-----|-----|
| Yes | No  |
| N/A | N/A |

**Please Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature of officer: Mark K. Berg Date: 11/14/07  
 Type or print name and title: Mary K. Berg, Asst. Treasurer

---

**Paid Preparer's Use Only**

Preparer's signature: [Signature] Date: 11/13/07 Check if self-employed:   
 Firm's name (or yours if self-employed), address, and ZIP + 4: GRANT THORNTON LLP  
2010 CORPORATE RIDGE, SUITE 400  
MCLEAN, VA 22102

Preparer's SSN or PTIN (See Gen Inst X):                       
 EIN:                       
 Phone no: 703-847-7500

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

**Organization Exempt Under Section 501(c)(3)**

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n),  
or 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0047

**2006**

Department of the Treasury  
Internal Revenue Service

**Supplementary Information - (See separate instructions.)**

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

Name of the organization

THE FUND FOR ANIMALS, INC.

Employer identification number

13-6218740

**Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees**  
(See page 2 of the instructions. List each one. If there are none, enter "None.")

| (a) Name and address of each employee paid more than \$50,000 | (b) Title and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans & deferred compensation | (e) Expense account and other allowances |
|---|--|------------------|---|--|
| SEE STATEMENT 17  |  |                  |   |  |
|   |  |                  |   |  |
|   |  |                  |   |  |
|   |  |                  |   |  |
|   |  |                  |   |  |
|   |  |                  |   |  |
|   |  |                  |   |  |
|   |  |                  |   |  |
| Total number of other employees paid over \$50,000 . . ▶      |  | 1                |   |  |

**Part II-A Compensation of the Five Highest Paid Independent Contractors for Professional Services**  
(See page 2 of the instructions. List each one (whether individuals or firms). If there are none, enter "None.")

| (a) Name and address of each independent contractor paid more than \$50,000          | (b) Type of service | (c) Compensation |
|--|---------------------|------------------|
| SEE STATEMENT 18   |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
| Total number of others receiving over \$50,000 for professional services . . . . . ▶ |                     | NONE             |

**Part II-B Compensation of the Five Highest Paid Independent Contractors for Other Services**  
(List each contractor who performed services other than professional services, whether individuals or firms. If there are none, enter "None." See page 2 of the instructions.)

| (a) Name and address of each independent contractor paid more than \$50,000              | (b) Type of service | (c) Compensation |
|--|---------------------|------------------|
| NONE   |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
|  |                     |                  |
| Total number of other contractors receiving over \$50,000 for other services . . . . . ▶ |                     | NONE             |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 and Form 990-EZ.

Schedule A (Form 990 or 990-EZ) 2006

Part III Statements About Activities (See page 2 of the instructions.)

Table with columns for question number, description, Yes, and No. Includes questions 1, 2, 3a, 3b, 3c, 3d, 4a, 4b, 4c, 4d, 4e, 4f, 4g.

**Part IV Reason for Non-Private Foundation Status** (See pages 4 through 7 of the instructions.)

I certify that the organization is not a private foundation because it is (Please check only **ONE** applicable box.)

- 5  A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
- 6  A school Section 170(b)(1)(A)(ii) (Also complete Part V)
- 7  A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
- 8  A federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
- 9  A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state
- 10  An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the **Support Schedule** in Part IV-A.)
- 11 a  An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A.)
- 11 b  A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A.)
- 12  An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A)
- 13  An organization that is not controlled by any disqualified persons (other than foundation managers) and otherwise meets the requirements of section 509(a)(3) Check the box that describes the type of supporting organization
  - Type I
  - Type II
  - Type III - Functionally Integrated
  - Type III - Other

Provide the following information about the supported organizations. (See page 7 of the instructions)

| (a)<br>Name(s) of supported organization(s) | (b)<br>Employer identification number (EIN) | (c)<br>Type of organization (described in lines 5 through 12 above or IRC section) | (d)<br>Is the supported organization listed in the supporting organization's governing documents? |    | (e)<br>Amount of support |
|---|---|--|---|----|--------------------------|
|   |   |  | Yes   | No |                          |
|   |   |  |   |    |                          |
|   |   |  |   |    |                          |
|   |   |  |   |    |                          |
|   |   |  |   |    |                          |
|   |   |  |   |    |                          |
| <b>Total</b> .....                          |   |  |   |    | ▶                        |

- 14  An organization organized and operated to test for public safety Section 509(a)(4) (See page 7 of the instructions)

**Part IV-A Support Schedule** (Complete only if you checked a box on line 10, 11, or 12) *Use cash method of accounting.*

**Note:** You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

| Calendar year (or fiscal year beginning in)   | (a) 2005           | (b) 2004   | (c) 2003   | (d) 2002   | (e) Total   |
|---|--------------------|------------|------------|------------|-------------|
| 15 Gifts, grants, and contributions received (Do not include unusual grants See line 28)  | 6,360,608.         | 6,540,396. | 6,514,491. | 7,239,412. | 26,654,907. |
| 16 Membership fees received   |                    |            |            |            |             |
| 17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc. purpose   | 82,859.            | 126,217.   | 350,557.   | 370,129.   | 929,762.    |
| 18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975 | 207,641.           | 655,238.   | 681,533.   | 722,744.   | 2,267,156.  |
| 19 Net income from unrelated business activities not included in line 18  |                    |            |            |            |             |
| 20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf   |                    |            |            |            |             |
| 21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge  |                    |            |            |            |             |
| 22 Other income Attach a schedule Do not include gain or (loss) from sale of capital assets   | STMT 20<br>58,151. |            |            |            | 58,151.     |
| 23 Total of lines 15 through 22   | 6,709,259.         | 7,321,851. | 7,546,581. | 8,332,285. | 29,909,976. |
| 24 Line 23 minus line 17.   | 6,626,400.         | 7,195,634. | 7,196,024. | 7,962,156. | 28,980,214. |
| 25 Enter 1% of line 23.   | 67,093.            | 73,219.    | 75,466.    | 83,323.    |             |

|   |   |       |             |
|---|---|-------|-------------|
| 26 Organizations described on lines 10 or 11: | a Enter 2% of amount in column (e), line 24   | ▶ 26a | 579,604.    |
|   | b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 2002 through 2005 exceeded the amount shown in line 26a Do not file this list with your return. Enter the total of all these excess amounts | ▶ 26b | 304,868.    |
|   | c Total support for section 509(a)(1) test Enter line 24, column (e)  | ▶ 26c | 28,980,214. |
|   | d Add Amounts from column (e) for lines: 18 <u>2,267,156.</u> 19 _____<br>22 <u>58,151.</u> 26b <u>304,868.</u>   | ▶ 26d | 2,630,175.  |
|   | e Public support (line 26c minus line 26d total)  | ▶ 26e | 26,350,039. |
|   | f Public support percentage (line 26e (numerator) divided by line 26c (denominator))  | ▶ 26f | 90.9242 %   |

27 Organizations described on line 12: a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person" Do not file this list with your return. Enter the sum of such amounts for each year  
NOT APPLICABLE

(2005) \_\_\_\_\_ (2004) \_\_\_\_\_ (2003) \_\_\_\_\_ (2002) \_\_\_\_\_

b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000 (Include in the list organizations described in lines 5 through 11b, as well as individuals) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year

(2005) \_\_\_\_\_ (2004) \_\_\_\_\_ (2003) \_\_\_\_\_ (2002) \_\_\_\_\_

c Add Amounts from column (e) for lines 15 \_\_\_\_\_ 16 \_\_\_\_\_  
17 \_\_\_\_\_ 20 \_\_\_\_\_ 21 \_\_\_\_\_ ▶ 27c

d Add Line 27a total, \_\_\_\_\_ and line 27b total, \_\_\_\_\_ ▶ 27d

e Public support (line 27c total minus line 27d total) ▶ 27e

f Total support for section 509(a)(2) test Enter amount from line 23, column (e) ▶ 27f

g Public support percentage (line 27e (numerator) divided by line 27f (denominator)) ▶ 27g %

h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator)) ▶ 27h %

28 Unusual Grants: For an organization described in line 10, 11, or 12 that received any unusual grants during 2002 through 2005, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15.

Part V Private School Questionnaire (See page 9 of the instructions.)

NOT APPLICABLE

(To be completed ONLY by schools that checked the box on line 6 in Part IV)

|      |   | Yes | No |
|------|---|-----|----|
| 29   | Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?   |     |    |
| 30   | Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?  |     |    |
| 31   | Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves?<br>If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement.)<br>-----<br>-----<br>----- |     |    |
| 32   | Does the organization maintain the following  |     |    |
| a    | Records indicating the racial composition of the student body, faculty, and administrative staff?   |     |    |
| b    | Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?   |     |    |
| c    | Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?   |     |    |
| d    | Copies of all material used by the organization or on its behalf to solicit contributions?<br><br>If you answered "No" to any of the above, please explain. (If you need more space, attach a separate statement )<br>-----<br>-----  |     |    |
| 33   | Does the organization discriminate by race in any way with respect to   |     |    |
| a    | Students' rights or privileges?   |     |    |
| b    | Admissions policies?  |     |    |
| c    | Employment of faculty or administrative staff?  |     |    |
| d    | Scholarships or other financial assistance?   |     |    |
| e    | Educational policies?   |     |    |
| f    | Use of facilities?  |     |    |
| g    | Athletic programs?  |     |    |
| h    | Other extracurricular activities?<br><br>If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement )<br>-----<br>-----<br>-----  |     |    |
| 34 a | Does the organization receive any financial aid or assistance from a governmental agency?   |     |    |
| b    | Has the organization's right to such aid ever been revoked or suspended?<br>If you answered "Yes" to either 34a or b, please explain using an attached statement  |     |    |
| 35   | Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev. Proc. 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation  |     |    |

**Part VI-A Lobbying Expenditures by Electing Public Charities** (See page 10 of the instructions)  
 (To be completed **ONLY** by an eligible organization that filed Form 5768) **NOT APPLICABLE**

Check **a** if the organization belongs to an affiliated group    Check **b** if you checked "a" and "limited control" provisions apply

| <b>Limits on Lobbying Expenditures</b>                   |   | (a)<br>Affiliated group<br>totals | (b)<br>To be completed<br>for all electing<br>organizations |
|--|---|-----------------------------------|---|
| (The term "expenditures" means amounts paid or incurred) |   |                                   |   |
| <b>36</b>  | Total lobbying expenditures to influence public opinion (grassroots lobbying) . . . . .   | <b>36</b>                         | Exhibit 1   |
| <b>37</b>  | Total lobbying expenditures to influence a legislative body (direct lobbying) . . . . .   | <b>37</b>                         |   |
| <b>38</b>  | Total lobbying expenditures (add lines 36 and 37) . . . . .   | <b>38</b>                         |   |
| <b>39</b>  | Other exempt purpose expenditures . . . . .   | <b>39</b>                         |   |
| <b>40</b>  | Total exempt purpose expenditures (add lines 38 and 39) . . . . .   | <b>40</b>                         |   |
| <b>41</b>  | Lobbying nontaxable amount Enter the amount from the following table -<br>If the amount on line 40 is -                      The lobbying nontaxable amount is -<br>Not over \$500,000 . . . . . 20% of the amount on line 40 . . . . .<br>Over \$500,000 but not over \$1,000,000 . . . . . \$100,000 plus 15% of the excess over \$500,000<br>Over \$1,000,000 but not over \$1,500,000 . . . . . \$175,000 plus 10% of the excess over \$1,000,000<br>Over \$1,500,000 but not over \$17,000,000 . . . . . \$225,000 plus 5% of the excess over \$1,500,000<br>Over \$17,000,000 . . . . . \$1,000,000 . . . . . | <b>41</b>                         |   |
| <b>42</b>  | Grassroots nontaxable amount (enter 25% of line 41) . . . . .   | <b>42</b>                         |   |
| <b>43</b>  | Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36 . . . . .   | <b>43</b>                         |   |
| <b>44</b>  | Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38 . . . . .   | <b>44</b>                         |   |

**Caution:** If there is an amount on either line 43 or line 44, you must file Form 4720

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below  
 See the instructions for lines 45 through 50 on page 13 of the instructions)

| <b>Lobbying Expenditures During 4-Year Averaging Period</b>        |             |             |             |             |              |
|--|-------------|-------------|-------------|-------------|--------------|
| Calendar year (or fiscal year beginning in) ▶                      | (a)<br>2006 | (b)<br>2005 | (c)<br>2004 | (d)<br>2003 | (e)<br>Total |
| <b>45</b> Lobbying nontaxable amount . . . . .                     |             |             |             |             |              |
| <b>46</b> Lobbying ceiling amount (150% of line 45(e)) . . . . .   |             |             |             |             |              |
| <b>47</b> Total lobbying expenditures                              |             |             |             |             |              |
| <b>48</b> Grassroots nontaxable amount . . . . .                   |             |             |             |             |              |
| <b>49</b> Grassroots ceiling amount (150% of line 48(e)) . . . . . |             |             |             |             |              |
| <b>50</b> Grassroots lobbying expenditures . . . . .               |             |             |             |             |              |

**Part VI-B Lobbying Activity by Nonelecting Public Charities**

(For reporting only by organizations that did not complete Part VI-A) (See page 13 of the instructions.)

| During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of | Yes | No | Amount |
|--|-----|----|--------|
| <b>a</b> Volunteers . . . . .  |     | X  |        |
| <b>b</b> Paid staff or management (Include compensation in expenses reported on lines c through h.) . . . . .  |     | X  |        |
| <b>c</b> Media advertisements . . . . .  |     | X  |        |
| <b>d</b> Mailings to members, legislators, or the public . . . . .   |     | X  |        |
| <b>e</b> Publications, or published or broadcast statements . . . . .  | X   |    | 3,883. |
| <b>f</b> Grants to other organizations for lobbying purposes . . . . .   |     | X  |        |
| <b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body . . . . .  |     | X  |        |
| <b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means . . . . .  |     | X  |        |
| <b>i</b> Total lobbying expenditures (Add lines c through h) . . . . .   |     |    | 3,883. |

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities **STMT 21**

**Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations (See page 13 of the instructions.)**

**51** Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a** Transfers from the reporting organization to a noncharitable exempt organization of

|               | Yes | No |
|---------------|-----|----|
| <b>51a(i)</b> |     | X  |
| <b>a(ii)</b>  |     | X  |
| <b>b(i)</b>   |     | X  |
| <b>b(ii)</b>  |     | X  |
| <b>b(iii)</b> |     | X  |
| <b>b(iv)</b>  |     | X  |
| <b>b(v)</b>   |     | X  |
| <b>b(vi)</b>  |     | X  |
| <b>c</b>      |     | X  |

(i) Cash

(ii) Other assets

**b** Other transactions

(i) Sales or exchanges of assets with a noncharitable exempt organization

(ii) Purchases of assets from a noncharitable exempt organization

(iii) Rental of facilities, equipment, or other assets

(iv) Reimbursement arrangements

(v) Loans or loan guarantees

(vi) Performance of services or membership or fundraising solicitations

**c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees

**d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a)<br>Line no | (b)<br>Amount involved | (c)<br>Name of noncharitable exempt organization | (d)<br>Description of transfers, transactions, and sharing arrangements |
|----------------|------------------------|--|---|
| N/A            |                        |  |   |
|                |                        |  |   |
|                |                        |  |   |
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|                |                        |  |   |

**52a** Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?  Yes  No

**b** If "Yes," complete the following schedule

| (a)<br>Name of organization | (b)<br>Type of organization | (c)<br>Description of relationship |
|-----------------------------|-----------------------------|------------------------------------|
| N/A                         |                             |                                    |
|                             |                             |                                    |
|                             |                             |                                    |
|                             |                             |                                    |
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|                             |                             |                                    |



FORM 990 - GENERAL EXPLANATION ATTACHMENT

STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS  
FORM 990, PAGE 3, PART III

THE FUND FOR ANIMALS (FFA)

FFA IS AN AFFILIATE ORGANIZATION OF THE HUMANE SOCIETY OF THE UNITED STATES (HSUS). IT IS RESPONSIBLE FOR THE HSUS'S ANIMAL CARE FACILITIES. THESE FACILITIES INCLUDE THE CLEVELAND AMORY BLACK BEAUTY RANCH (TX), THE FUND FOR ANIMALS WILDLIFE REHABILITATION CENTER (CA), THE CAPE WILDLIFE REHABILITATION CENTER (MA), AND THE RURAL AREA VETERINARY SERVICES (RAVS) PROGRAM, WHICH SPONSORS MOBILE VETERINARY CLINIC VISITS TO NATIVE AMERICAN RESERVATIONS AND IMPOVERISHED COMMUNITIES ACROSS THE UNITED STATES AND THROUGHOUT THE WESTERN HEMISPHERE.

HUMANE EDUCATION: EDUCATE THE PUBLIC ON ISSUES AND EVENTS AFFECTING ANIMAL RIGHTS AND WELFARE.

PROGRAM EXPENSE: \$1,879,849  
GIFTS AND GRANTS: \$ 34,854

CLEVELAND AMORY BLACK BEAUTY RANCH: THE FUND FOR ANIMALS OPERATES THE WORLD FAMOUS BLACK BEAUTY RANCH IN MURCHISON, TEXAS. THE 1,620-ACRE REFUGE IS HOME TO MANY HUNDREDS OF ANIMALS -- FROM CHIMPANZEES TO BURROS TO ELEPHANTS. HERE, ANIMALS DO NOT GET HARASSED OR HARMED, BUT A HELPING HAND AND A LOVING HOME.

PROGRAM EXPENSE: \$1,045,088  
GIFTS AND GRANTS: \$ 100

CALIFORNIA WILDLIFE REHABILITATION CENTER: IN SOUTHERN CALIFORNIA, INJURED AND ORPHANED WILD ANIMALS ARE RESTORED TO HEALTH AND BACK TO THEIR NATIVE WILD HOMES, THANKS TO THE FUND'S 24-HOUR MEDICAL FACILITY. THE CENTER INCLUDES PREMIUM REHABILITATION ENCLOSURES FOR ANIMALS SUCH AS MOUNTAIN LIONS, COYOTES, AND RACCOONS, AND THE LARGEST FREE-FLIGHT RAPTOR AVIARY IN THE WORLD.

PROGRAM EXPENSE: \$416,260  
GIFTS AND GRANTS: \$ 0

CAPE WILDLIFE REHABILITATION CENTER: THE MISSION OF THE CAPE WILDLIFE CENTER, A PROGRAM OF THE HUMANE SOCIETY OF THE UNITED STATES, IS TO PROMOTE AND PROTECT THE HEALTH AND WELL-BEING OF WILD ANIMALS AND THEIR HABITATS AS INTEGRAL PARTS OF THE CAPE COD COMMUNITY. THE CENTER OPERATES A YEAR-ROUND WILDLIFE REHABILITATION FACILITY AND VETERINARY CLINIC THAT ANNUALLY PROVIDES PROFESSIONAL CARE TO NEARLY 1,400 INJURED,

FORM 990 - GENERAL EXPLANATION ATTACHMENT (CONT'D)

ILL, AND ORPHANED WILD ANIMALS. WE STRIVE TO ACHIEVE THE HIGHEST STANDARDS OF CARE AND HUMANE TREATMENT OF WILD ANIMALS WITHIN THE CAPE COD ECOSYSTEM.

PROGRAM EXPENSE: \$336,988  
GIFTS AND GRANTS: \$ 350

RURAL AREA VETERINARY SERVICES (RAVS):RAVS BRINGS VETERINARY SERVICES TO POOR COMMUNITIES AROUND THE GLOBE, WHETHER IN BOLIVIA OR BELL COUNTY, KENTUCKY. VOLUNTEER VETERINARY STUDENTS WORK WITH SEVERAL PROFESSIONAL VETS TO PROVIDE NOT ONLY ESSENTIAL SERVICES SUCH AS STERILIZATIONS AND VACCINATIONS, BUT ALSO EDUCATIONAL SERVICES SUCH AS TALKS ON DISEASE PREVENTION AND PET CARE.

PROGRAM EXPENSE: \$628,162  
GIFTS AND GRANTS: \$ 0

FORM 990 - GENERAL EXPLANATION ATTACHMENT  
 =====

RELATED ORGANIZATIONS  
 FORM 990, PAGE 6, PART VI, LINE 80B

| NAME OF ORGANIZATION   | EXEMPT           | NONEXEMPT |
|--|------------------|-----------|
| THE HUMANE SOCIETY OF THE UNITED STATES                            | X                |           |
| THE HUMANE SOCIETY OF THE US WILDLIFE<br>LAND TRUST                | X                |           |
| THE HUMANE SOCIETY LEGISLATIVE FUND                                | X                |           |
| EARTHVOICE INTERNATIONAL   | X                |           |
| THE NATIONAL ASSOCIATION FOR HUMANE AND<br>ENVIRONMENTAL EDUCATION | X                |           |
| EARTHKIND USA  | X                |           |
| THE CENTER FOR THE RESPECT OF LIFE AND<br>ENVIRONMENT              | X                |           |
| HUMANE SOCIETY INTERNATIONAL                                       | X                |           |
| DORIS DAY ANIMAL LEAGUE  | X                |           |
| HUMANE SOCIETY OF HONG KONG LIMITED                                | (INT'L NFP CORP) |           |
| THE HUMANE SOCIETY INTERNATIONAL UK                                | (INT'L NFP CORP) |           |
| THE HUMANE SOCIETY INTERNATIONAL GERMANY                           | (INT'L NFP CORP) |           |
| THE HUMANE SOCIETY INTERNATIONAL FRANCE                            | (INT'L NFP CORP) |           |
| HUMANE SOCIETY INTERNATIONAL, INC.<br>(AUSTRALIA)                  | (INT'L NFP CORP) |           |
| GLOBAL ALLIANCE FOR HUMANE SUSTAINABLE<br>DEVELOPMENT              | (INT'L NFP CORP) |           |

FORM 990, PART I - SPECIAL FUNDRAISING EVENTS AND ACTIVITIES

| DESCRIPTION                                      | GROSS REVENUE | NET INCOME |
|--|---------------|------------|
| CAPE WILDLIFE CENTER AND CALIFORNIA REHAB CENTER | 17,353.       | 17,353.    |
| BLACK BEAUTY RANCH                               | 5,755.        | 5,755.     |
| TOTALS   | 23,108.       | 23,108.    |

FORM 990, PART II - OTHER GRANTS AND ALLOCATIONS PAID DURING THE YEAR

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

GRANTS PAID

\*\*\*\*\*

WILDLIFE ADVOCACY PROJECT

NONE

GIFT

2,000.

1601 CONNECTICUT AVENUE, N.W. SUITE 700

501(C)(3)

WASHINGTON, DC 20009

RABBIT SANCTUARY, INC.

NONE

GIFT

32,854.

833 FAIRVIEW ROAD

501(C)(3)

SIMPSONVILLE, SC 29680

NATIONAL MARINE LIFE CENTER

NONE

GIFT

350.

120 MAIN STREET

501(C)(3)

BUZZARDS BAY, MA 02532

MURCHISON VOLUNTEER FIRE DEPARTMENT

NONE

GIFT

100.

PO BOX 151

501(C)(3)

MURCHISON, TX 75778

TOTAL CONTRIBUTIONS PAID

35,304.

| Description of Property |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|-------------------------|------------------------|--------------------------|---------|----------------------------|-----------------|------------------------|------------------------------------|---------------------------------|--------|------|--------|------------|--------------|--------------------------|---------------------------|
| <b>DEPRECIATION</b>     |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
| Asset description       | Date placed in service | Unadjusted Cost or basis | Bus %   | 179 exp reduction in basis | Basis Reduction | Basis for depreciation | Beginning Accumulated depreciation | Ending Accumulated depreciation | Method | Conv | Life   | ACRS class | MA CRS class | Current-year 179 expense | Current-year depreciation |
| VARIOUS ASSETS          | VAR                    | 3,637,175.               | 100.000 |                            |                 | 3,637,175.             | 1,770,648.                         | 1,906,073.                      | SL     |      | 99.000 |            |              |                          | 135,425.                  |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
| Less: Retired Assets    |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
| <b>Subtotals</b>        |                        | 3,637,175.               |         |                            |                 | 3,637,175.             | 1,770,648.                         | 1,906,073.                      |        |      |        |            |              |                          | 135,425.                  |
| <b>Listed Property</b>  |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
| Less Retired Assets     |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
| <b>Subtotals</b>        |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
| <b>TOTALS</b>           |                        | 3,637,175.               |         |                            |                 | 3,637,175.             | 1,770,648.                         | 1,906,073.                      |        |      |        |            |              |                          | 135,425.                  |
| <b>AMORTIZATION</b>     |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
| Asset description       | Date placed in service | Cost or basis            |         |                            |                 |                        | Accumulated amortization           | Ending accumulated amortization | Code   | Life |        |            |              |                          | Current-year amortization |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
|                         |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |
| <b>TOTALS</b>           |                        |                          |         |                            |                 |                        |                                    |                                 |        |      |        |            |              |                          |                           |

\*Assets Retired JSA 6X9024 1 000

FORM 990, PART II - OTHER EXPENSES

| DESCRIPTION                                     | TOTAL      | PROGRAM SERVICES | MANAGEMENT AND GENERAL | FUNDRAISING |
|---|------------|------------------|------------------------|-------------|
| CONSULTING FEES                                 | 485,996.   | 450,656.         | 35,340.                |             |
| INVESTMENT ADVISORY FEES                        | 39,705.    | 35,111.          | 2,753.                 | 1,841.      |
| INSURANCE                                       | 367.       | 325.             | 25.                    | 17.         |
| REAL ESTATE AND PERSONAL PROPERTY TAXES         | 19,825.    | 17,531.          | 1,375.                 | 919.        |
| MAILING COSTS                                   | 795,281.   | 737,455.         | 57,826.                |             |
| EDUCATION MATERIAL, PUBLICATIONS, AND CAMPAIGNS | 67,225.    | 59,447.          | 4,661.                 | 3,117.      |
| TOTALS  | 1,408,399. | 1,300,525.       | 101,980.               | 5,894.      |

FORM 990, PART III - ORGANIZATION'S PRIMARY EXEMPT PURPOSE

=====

THE ALLEVIATION OF FEAR, THE PREVENTION OF PAIN AND THE RELIEF OF SUFFERING OF ANIMALS EVERYWHERE AND TO FOSTER HUMANE CONDUCT TOWARD ANIMALS AND ENCOURAGE AND SUPPORT THE COOPERATION AMONG ALL PERSONS INTERESTED IN HUMANE ACTIVITIES.



LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

FIXED ASSET DETAIL

ACCUMULATED DEPRECIATION DETAIL

| ASSET DESCRIPTION | METHOD/<br>CLASS | BEGINNING<br>BALANCE | ADDITIONS | DISPOSALS | ENDING<br>BALANCE | BEGINNING<br>BALANCE | ADDITIONS | DISPOSALS | ENDING<br>BALANCE |
|-------------------|------------------|----------------------|-----------|-----------|-------------------|----------------------|-----------|-----------|-------------------|
| VARIOUS ASSETS    | SL               | 3,637,175.           |           |           | 3,637,175.        | 1,770,648.           | 135,425.  |           | 1,906,073.        |
| TOTALS            |                  | 3,637,175.           |           |           | 3,637,175.        | 1,770,648.           |           |           | 1,906,073.        |

FORM 990, PART IV - OTHER ASSETS

=====

| DESCRIPTION<br>----- | ENDING<br>BOOK VALUE<br>----- |
|----------------------|-------------------------------|
| SECURITY DEPOSITS    | 470.                          |
|                      | -----                         |
| TOTALS               | 470.                          |
|                      | =====                         |

FORM 990, PART IV - OTHER LIABILITIES

=====

| DESCRIPTION<br>----- | ENDING<br>BOOK VALUE<br>----- |
|----------------------|-------------------------------|
| DUE TO AFFILIATE     | 768,167.                      |
| TOTALS               | -----<br>768,167.<br>=====    |

FORM 990, PART V-A - CURRENT OFFICERS, DIRECTORS, AND TRUSTEES

| NAME AND ADDRESS  | TITLE AND TIME DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|------------------------------------|--------------|---|-----------------------------------|
| MARIAN G. PROBST<br>200 WEST 57TH STREET<br>705<br>NEW YORK, NY 10019     | CHAIR/DIRECTOR<br>35.00            | NONE         | NONE                                    | NONE                              |
| MICHAEL MARKARIAN<br>200 WEST 57TH STREET<br>705<br>NEW YORK, NY 10019    | PRESIDENT<br>40.00                 | NONE         | NONE                                    | NONE                              |
| G. THOMAS WAITE, III<br>200 WEST 57TH STREET<br>705<br>NEW YORK, NY 10019 | TREASURER<br>1.00                  | NONE         | NONE                                    | NONE                              |
| MARY KATHERINE BERGE<br>200 WEST 57TH STREET<br>705<br>NEW YORK, NY 10019 | ASSISTANT TREASURER<br>1.00        | NONE         | NONE                                    | NONE                              |
| PATRICK MCDONNELL<br>200 WEST 57TH STREET<br>705<br>NEW YORK, NY 10019    | DIRECTOR<br>1.00                   | NONE         | NONE                                    | NONE                              |
| WILLIAM F. MANCUSO  | DIRECTOR<br>1.00                   | NONE         | NONE                                    | NONE                              |

FORM 990, PART V-A - CURRENT OFFICERS, DIRECTORS, AND TRUSTEES

| NAME AND ADDRESS  | TITLE AND TIME DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|------------------------------------|--------------|---|-----------------------------------|
| 200 WEST 57TH STREET<br>705<br>NEW YORK, NY 10019                           |                                    |              |   |                                   |
| EDGAR SMITH<br>200 WEST 57TH STREET<br>705<br>NEW YORK, NY 10019            | DIRECTOR<br>1.00                   | NONE         | NONE                                    | NONE                              |
| DAVID O. WEIBERS, M.D.<br>200 WEST 57TH STREET<br>705<br>NEW YORK, NY 10019 | DIRECTOR<br>1.00                   | NONE         | NONE                                    | NONE                              |
| SHERYL DEMPSEY<br>200 WEST 57TH STREET<br>705<br>NEW YORK, NY 10019         | SECRETARY<br>1.00                  | NONE         | NONE                                    | NONE                              |
| GRAND TOTALS  |                                    |              |   |                                   |
|   |                                    | NONE         | NONE                                    | NONE                              |

THE FUND FOR ANIMALS, INC.

13-6218740

FORM 990, PART V-A COMPENSATION PROVIDED BY RELATED ORGANIZATION

| NAME, ORGANIZATION NAME, RELATIONSHIP   | EMPLOYER ID # | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---------------|--------------|---|-----------------------------------|
| MICHAEL MARKARIAN<br>HUMANE SOCIETY OF THE UNITED STATES<br>CONTROLLED BY HSUS              | 53-0225390    | 159,154.     | 21,706.                                 | NONE                              |
| G. THOMAS WAITE, III<br>HUMANE SOCIETY OF THE UNITED STATES<br>CONTROLLED BY HSUS           | 53-0225390    | 172,231.     | 35,048.                                 | NONE                              |
| MARY KATHERINE BERGE<br>HUMANE SOCIETY OF THE UNITED STATES<br>CONTROLLED BY HSUS           | 53-0225390    | 118,500.     | 26,792.                                 | NONE                              |
| SHERYL DEMPSEY<br>HUMANE SOCIETY OF THE UNITED STATES<br>CONTROLLED BY HSUS                 | 53-0225390    | 43,575.      | 5,112.                                  | NONE                              |
| NATIONAL OUTDOOR SPORTS ADVERT<br>HUMANE SOCIETY OF THE UNITED STATES<br>CONTROLLED BY HSUS | 53-0225390    | 1,840,839.   | NONE                                    | NONE                              |
| HSUS-WILDLIFE LAND TRUST<br>AFFILIATED ORGANIZATION   | 52-1808517    | 124,182.     | NONE                                    | NONE                              |

THE FUND FOR ANIMALS, INC.

13-6218740

FORM 990, PART V-A COMPENSATION PROVIDED BY RELATED ORGANIZATION

| NAME, ORGANIZATION NAME, RELATIONSHIP | EMPLOYER ID # | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---------------------------------------|---------------|--------------|---|-----------------------------------|
|                                       |               | 2,458,481.   | 88,658.                                 | NONE                              |

GRAND TOTALS

2,458,481.

88,658.

NONE

FORM 990, PART VI, LINE 90A - STATES  
=====

AL, AK, AZ, AR, CA, CO, CT, DC, FL, GA,  
IL, KS, KY, LA, ME, MD, MA, MI, MN, MS, NH, NJ, NM,  
NY, NC, OH, OK, OR, PA, RI, SC, TN, UT, VA, WA, WV, WI



SCHEDULE A, PART I - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

| NAME AND ADDRESS   | TITLE AND TIME DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCOUNT |
|--|------------------------------------|--------------|---|-----------------|
| ROBERT WILLIAM FEARN<br>200 WEST 57TH STREET<br>NEW YORK, NY 10019 | DIR.-CAPE WL CENTER<br>40.00       | 61,990.      | 14,109.                                 | NONE            |
| ERIC W. DAVIS<br>200 WEST 57TH STREET<br>NEW YORK, NY 10019        | DIRECTOR- RAVS<br>40.00            | 69,469.      | 15,434.                                 | NONE            |
| SUSAN HOUGH MONGER<br>200 WEST 57TH STREET<br>NEW YORK, NY 10019   | VETERINARIAN<br>40.00              | 62,538.      | 11,830.                                 | NONE            |
| KATHERINE B. LISCOMB<br>200 WEST 57TH STREET<br>NEW YORK, NY 10019 | VP-ANIMAL CARE CENT.<br>40.00      | 107,453.     | 34,704.                                 | NONE            |
| RICHARD H. FARINATO<br>200 WEST 57TH STREET<br>NEW YORK, NY 10019  | DIR. BLACK BEAUTY<br>40.00         | 66,107.      | 17,339.                                 | NONE            |
| TOTAL COMPENSATION   |                                    | 367,557.     | 93,416.                                 | NONE            |

SCH. A, PART II-A COMPENSATION OF THE 5 HIGHEST PAID FOR PROF. SERV.

|  |                   |         |
|--|-------------------|---------|
| NATIONAL OUTDOOR SPORTS ADVERTISING, INC<br>5151 WISCONSIN AVENUE, NW, 4TH FLOOR<br>WASHINGTON, DC 20016 | PROF. FUNDRAISING | 66,115. |
|--|-------------------|---------|

|  |              |         |
|--|--------------|---------|
| JENNIFER SCARLETT<br>905 FLORIDA STREET<br>SAN FRANCISCO, CA 94110 | VETERINARIAN | 50,635. |
|--|--------------|---------|

|  |              |         |
|--|--------------|---------|
| LEO EGAR, DVM.<br>2607 MARILYN ROAD<br>PHOENIX, AZ 85032 | VETERINARIAN | 57,428. |
|--|--------------|---------|

|                    |  |                            |
|--------------------|--|----------------------------|
| TOTAL COMPENSATION |  | -----<br>174,178.<br>===== |
|--------------------|--|----------------------------|

SCHEDULE A, PART VI-B - LOBBYING ACTIVITY EXPLANATION

=====

LOBBYING EXPENSES INCURRED THROUGH THE FUND FOR ANIMALS (MAGAZINE).

The Fund for Animals  
Form 990, Schedule A, Part VI-A  
Lobbying expenditures by Electing Public Charities  
12/31/06

Question 36

While FFA did not revoke its election under 501(h) for 2006, we are filing this Schedule A as though it had because a private letter ruling request has been filed seeking 9100 relief.

# Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

► File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
  - If you are filing for an **Additional (not automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

Section 501(c) corporations required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for section 501(c) corporations required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

|   |  |   |
|---|--|---|
| <b>Type or print</b><br><br><small>File by the due date for filing your return See instructions</small> | Name of Exempt Organization<br><b>THE FUND FOR ANIMALS, INC.</b>   | Employer identification number<br><b>13-6218740</b> |
|   | Number, street, and room or suite no. If a P.O. box, see instructions.<br><b>200 WEST 57TH STREET</b>                |   |
|   | City, town or post office, state, and ZIP code. For a foreign address, see instructions<br><b>NEW YORK, NY 10019</b> |   |

### Check type of return to be filed (file a separate application for each return)

- |  |  |                                    |
|--|--|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation)                | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL         | <input type="checkbox"/> Form 990-T (sec 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ         | <input type="checkbox"/> Form 990-T (trust other than above)     | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF         | <input type="checkbox"/> Form 1041-A                             | <input type="checkbox"/> Form 8870 |

- The books are in the care of ► THE FUND FOR ANIMALS, INC.

Telephone No ► 212 246-2096 FAX No. ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a section 501(c) corporation required to file Form 990-T) extension of time until 08/15, 2007, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- calendar year 2006 or
- tax year beginning \_\_\_\_\_, \_\_\_\_\_, and ending \_\_\_\_\_, \_\_\_\_\_.

2 If this tax year is for less than 12 months, check reason.  Initial return  Final return  Change in accounting period

|   |    |    |     |
|---|----|----|-----|
| 3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.   | 3a | \$ | N/A |
| b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.  | 3b | \$ |     |
| c <b>Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | 3c | \$ | N/A |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

• If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II and check this box.

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

**Part II Additional (not automatic) 3-Month Extension of Time. You must file original and one copy.**

|   |  |   |
|---|--|---|
| Type or print<br>File by the extended due date for filing the return See instructions | Name of Exempt Organization<br><b>THE FUND FOR ANIMALS, INC.</b>   | Employer identification number<br><b>13-6218740</b> |
|   | Number, street, and room or suite no. If a P O box, see instructions<br><b>200 WEST 57TH STREET</b>                  | For IRS use only                                    |
|   | City, town or post office, state, and ZIP code For a foreign address, see instructions.<br><b>NEW YORK, NY 10019</b> |   |

Check type of return to be filed (File a separate application for each return):

|  |   |                                      |                                    |
|--|---|--------------------------------------|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-PF                              | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-BL         | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 4720   | <input type="checkbox"/> Form 8870 |
| <input type="checkbox"/> Form 990-EZ         | <input type="checkbox"/> Form 990-T (trust other than above)      | <input type="checkbox"/> Form 5227   |                                    |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of **THE FUND FOR ANIMALS, INC.**  
Telephone No. **212 246-2096** FAX No. \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box.
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until **11/15, 2007**.
- For calendar year **2006**, or other tax year beginning \_\_\_\_\_, 20\_\_\_\_ and ending \_\_\_\_\_, 20\_\_\_\_.
- If this tax year is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period
- State in detail why you need the extension **ADDITIONAL TIME IS REQUESTED TO GATHER THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.**

|   |                  |
|---|------------------|
| 8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.   | 8a \$ <b>N/A</b> |
| b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b \$ _____      |
| c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.              | 8c \$ <b>N/A</b> |

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Margaret A. Bradshaw Title CPA Agent Date 8/14/07

**Notice to Applicant. (To Be Completed by the IRS)**

- We have approved this application. Please attach this form to the organization's return
- We have not approved this application. However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions) This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return. Please attach this form to the organization's return.
- We have not approved this application. After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file. We are not granting a 10-day grace period.
- We cannot consider this application because it was filed after the extended due date of the return for which an extension was requested.
- Other \_\_\_\_\_

By \_\_\_\_\_ Date \_\_\_\_\_

Alternate Mailing Address. Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above.

|               |   |
|---------------|---|
| Type or print | Name<br><b>GRANT THORNTON LLP - Bradshaw</b>  |
|               | Number and street (include suite, room, or apt. no.) or a P.O. box number<br><b>2010 CORPORATE RIDGE, SUITE 400</b> |
|               | City or town, province or state, and country (including postal or ZIP code)<br><b>MCLEAN, VA 22102</b>              |
|               |   |